12-09-04 TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 15436.253.65.1 In Re Application Of: Hofmeister et al. DEC 0 8 2004 Serial No. Filing Date Examiner **Group Art Unit** 10/695,344 October 28, 2003 2833 Unknown Title: ELECTRONIC ASSEMBLY TESTER AND METHOD FOR OPTOELECTRONIC DEVICE Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR  $\Box$  the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 15436.253.65.1 In Re Application: Hofmeister et al. **Group Art Unit** Serial No. Filing Date Examiner 2833 10/695,344 Unknown October 28, 2003 ELECTRONIC ASSEMBLY TESTER AND METHOD FOR OPTOELECTRONIC DEVICE **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of $\boxtimes$ Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service Patent and Trademark Office (F as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate \*This certificate may only be used if paying by deposit account. Dated: November 7, 2004 Signature R. BURNS ISRAELSEN Reg. No. 42,685 Customer No. 022913 CC:



Docket No: 15436.253.65.1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Hofmeister, et al.	)
Serial No.:	10/695,344	) Art Unit ) 2833
Confirmation No.:	5607	) 2033
Filed:	October 28, 2003	)
For:	ELECTRONIC ASSEMBLY TESTER AND METHOD FOR OPTOELECTRONIC DEVICE	)
Examiner:	Unknown	)

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending

U.S. patent applications listed therein. This notice is not an admission that the claims of the present

application and the claims of the specified related pending patent applications are substantially

similar or that the specified related applications represent prior art with respect to the present

application.

Please credit any over payment or charge any additional fees to Deposit Account

No. 3-3178 of the undersigned.

December DATED November 7, 2004.

Respectfully submitted,

R. Bundle

R. BURNS ISRAELSEN

Attorney for Applicant

Registration No. 42,685

Customer No. 022913

Telephone: (801) 533-9800

RBI: kjb

KJB0000004815V001

2

hereby certify that this is being deposited with the street of the stree	Information Disclosure State the United States Postal Servelope addressed to: The Cor	Examiner Unknown  METHOD FOR OPTOELECTRON  ement; PTO Form 1449; one (1) reference (Identify type of correspondence) vice "Express Mail Post Office to Adminissioner of Patents and Tradem	rence; postcard ddressee" service unde
I hereby certify that this is being deposited with the 37 CFR 1.10 in an enve	Information Disclosure State the United States Postal Servelope addressed to: The Cor	ement; PTO Form 1449; one (1) refe (Identify type of correspondence) vice "Express Mail Post Office to Ad	rence; postcard ddressee" service unde
I hereby certify that this is being deposited with the 37 CFR 1.10 in an enve	the United States Postal Servelope addressed to: The Cor	(Identify type of correspondence) vice "Express Mail Post Office to A	ddressee" service unde
is being deposited with the 37 CFR 1.10 in an enve	the United States Postal Servelope addressed to: The Cor	(Identify type of correspondence) vice "Express Mail Post Office to A	ddressee" service unde
	Cember 5, 2004 (Date)		·
		Kristine Blust \((Typed or Printed Name of Person Maili	
		(Signature of Person Mailing Cor	-X
		EV524684498U	· S
		("Express Mail" Mailing Labe	el Number)
	Note: Each paper must h	nave its own certificate of mailing.	

Form PTO-1449

Sheet 1 of 2

Applicant:

Hofmeister et al.

Serial No.:

10/695,344

Att'y Docket No.: 15436.253.65.1

JC Filing Date:

October 28, 2003

Group: 2833

ELECTRONIC ASSEMBLY TESTER AND METHOD FOR

OPTOELECTRONIC DEVICE

# UPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

## Related U.S. Patent Applications

Inventor
Hofmeister et al.

Publication Number 2004-0091231-A1

Filing Date

October 28, 2003

Examiner

Document

Issue

Initial\*

Number

<u>Date</u>

Name

**NONE** 

### Foreign Patent Documents

Examiner Initial\*

Document Number Publication

Country or Patent Office

Date

**NONE** 

Other Documents (including author, title, pertinent pages, etc.)

Examiner Initial\*

**NONE** 

Examiner:

Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Sheet 2 of 2

Applicant:

Hofmeister et al.

Serial No.:

10/695,344

Att'y Docket No.: 15436.253.65.1

Filing Date:

October 28, 2003

Group: 2833

For:

ELECTRONIC ASSEMBLY TESTER AND METHOD FOR

OPTOELECTRONIC DEVICE

#### **References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KJB0000004814V001

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.